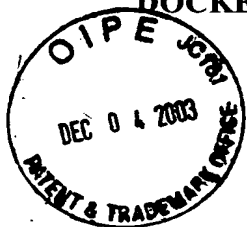


DOCKET NO.: ABUA-0060/B030240

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David Lubkeman, et al.

Application No.: 10/700,080

Filing Date: November 03, 2003

For: ELECTRIC UTILITY STORM OUTAGE MANAGEMENT

Confirmation No.:

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT:

12/2/03

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Raymond N. Scott, Jr.
REGISTRATION NO.: 48,666

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date

of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☒ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

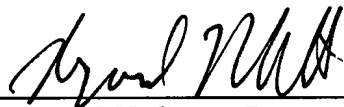
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
 - ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. _____, filed _____.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date:

12/2/03



Raymond N. Scott, Jr.

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. ABUA-0060/B030240	Application No. 10/700,080
		Applicant David Lubkeman, et al.	
		Filing Date November 3, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	1	Abrams, J.R., "A Scalable System for Automating Outage Management for Electrical Utilities", <i>IEEE</i> , 2001 , 1107-1109	
	2	Blew, D.S., "Outage Management Systems: Surviving the Implementation", <i>IEEE/PES Summer Power Meeting</i> , 2001 , 1178-1179	
	3	Carter, S. et al., "Outage Management System Implementation and Operations Experience at Gulf Power Company", <i>IEEE</i> , 1998 , 854-856	
	4	Hall, D.F., "Outage Management Systems as Integrated Elements of the Distribution Enterprise", <i>IEEE</i> , 2001 , 1175-1177	
	5	Moore, M.S., Ph.D., et al. "Integrating Information Systems in Electric Utilities", <i>IEEE</i> , 2000 , 399-404	
	6	Nielsen, T.D. et al., "Improving Outage Restoration Efforts Using Rule-Based Prediction and Advanced Analysis", <i>IEEE/PES Winter Power Meeting</i> , 2002 , 866-869	
	7	Moore, M. et al., "Diagnostics and Integration in Electric Utilities", <i>IEEE, Rural electric Power Conference. Papers Presented at the 44th Annual Conference</i> , 2000 , 1-10	
	8	Siddiai, U. et al., "Am Expert System Dispatcher's Aid for Distribution Feeder Fault Diagnosis", <i>Proceedings of the 20th Southeastern Symposium on System Theory</i> , 1988 , 519-523	
	9	"Thriving in an Age of Competition", <i>Book Analytic-AM.FM International Conference on Thriving in an Age of Competition</i> , March 1996 , 101-108, UGC Consulting, Englewood Co., (U.S.), Kentucky Utilities, Lexington, KY(U.S.)	
EXAMINER		DATE CONSIDERED	



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. ABUA-0060/B030240	Application No. 10/700,080
	Applicant David Lubkeman, et al.	
	Filing Date November 3, 2003	Group Not Yet Assigned

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	10	5,568,399	10/22/96	Sumic	364	492
	11	6,259,972 B1	07/10/01	Sumic, et al.	700	286
	12	2003/0004780 A1	01/02/03	Smith, et al.	705	10

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER	DATE CONSIDERED
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